
NOTICES

Supreme Court Sessions

Notice is hereby given that the calendar of sessions for the next court year has been adopted.

Sessions last approximately two weeks and are subject to change. Any deviation from the calendar as adopted, will be noticed in the court's Docket.

The first day of each of the sessions for the 2022 - 2023 court year is as follows: September 7, 2022; October 11, 2022; November 14, 2022; December 12, 2022; January 9, 2023; February 14, 2023; March 20, 2023; and April 24, 2023.

Carl D. Cicchetti
Chief Clerk

Appellate Court Sessions

Notice is hereby given that the calendar of sessions for the next court year has been adopted.

Sessions last approximately three weeks and are subject to change. Any deviation from the calendar as adopted, will be noticed in the court's Docket.

The first day of each of the sessions for the 2022 - 2023 court year is as follows: September 1, 2022; October 3, 2022; November 7, 2022; January 3, 2023; January 30, 2023; February 27, 2023; April 3, 2023; and May 8, 2023.

Carl D. Cicchetti
Chief Clerk

**Docket and Assignment Posting Dates for Supreme and Appellate
2022–2023 Court Years**

Docket and case assignment information is available on the Judicial Branch website and at <http://appellateinquiry.jud.ct.gov>. The electronic posting on the Judicial Branch website is the official notice of the dockets and assignments except for incarcerated self-represented parties and individuals who have an exemption from e-filing who will continue to receive notice by mail. See Practice Book sections 69-1 and 69-3. Notice of the cases the Appellate Court determines should be considered on the court’s motion calendar for dismissal or sanction will also be by mail. The information below provides docket and assignment posting dates for both courts for the 2022 – 2023 court year.

Supreme Court Docket	Information available on or about
First Term Docket	Posted to the website June 27, 2022
Second Term Docket	Posted to the website August 19, 2022
Third Term Docket	Posted to the website September 23, 2022
Fourth Term Docket	Posted to the website October 24, 2022
Fifth Term Docket	Posted to the website November 28, 2022
Sixth Term Docket	Posted to the website January 5, 2023
Seventh Term Docket	Posted to the website February 6, 2023
Eighth Term Docket	Posted to the website March 13, 2023
Supreme Court Assignment	Information available on or about
First Term Assignment	Posted to the website July 28, 2022
Second Term Assignment	Posted to the website September 15, 2022
Third Term Assignment	Posted to the website October 14, 2022
Fourth Term Assignment	Posted to the website November 18, 2022
Fifth Term Assignment	Posted to the website December 22, 2022
Sixth Term Assignment	Posted to the website January 26, 2023
Seventh Term Assignment	Posted to the website March 1, 2023
Eighth Term Assignment	Posted to the website April 6, 2023

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Appellate Court Docket	Information available on or about
First Term Docket	Posted to the website July 12, 2022
Second Term Docket	Posted to the website August 22, 2022
Third Term Docket	Posted to the website September 27, 2022
Fourth Term Docket	Posted to the website November 8, 2022
Fifth Term Docket	Posted to the website December 13, 2022
Sixth Term Docket	Posted to the website January 19, 2023
Seventh Term Docket	Posted to the website February 27, 2023
Eighth Term Docket	Posted to the website April 3, 2023
Appellate Court Assignment	Information available on or about
First Term Assignment	Posted to the website August 11, 2022
Second Term Assignment	Posted to the website September 20, 2022
Third Term Assignment	Posted to the website October 25, 2022
Fourth Term Assignment	Posted to the website December 6, 2022
Fifth Term Assignment	Posted to the website January 11, 2023
Sixth Term Assignment	Posted to the website February 16, 2023
Seventh Term Assignment	Posted to the website March 24, 2023
Eighth Term Assignment	Posted to the website April 27, 2023

Notice of Inactive Status of Attorney

In accordance with Section 2-56 of the Connecticut Practice Book, notice is hereby given that on March 28, 2022, Denise Bailey was placed on inactive status from the practice of law in the State of Connecticut until further order of the court.

A trustee is not appointed as the respondent has no Connecticut clients or holding funds of Connecticut clients. The Respondent must comply with Practice Book Section 2-47B, restrictions on the activities of deactivated attorneys.

Susan Quinn Cobb
Presiding Judge

Appointment of Trustee

Pursuant to Practice Book § 2-64, on May 10, 2022 in docket number HHD-CV22-6154162-S Attorney Emanuele A. Mangiafico (Juris #419956), with offices located at 185 West Main Street, New Britain, Connecticut 06052, is appointed as Trustee to inventory the late Attorney Brian D. Russell's (Juris #410172) files, secure his clients' fund account, held in Wells Fargo Bank and ending in *8515, take and review the office mail, and take such action as seems indicated to protect the interests of Attorney Russell's clients and to provide an accounting to the Court.

Susan Quinn Cobb
Presiding Judge

Appointment of Trustee

After a hearing on April 19, 2022, in docket number HHD-CV-19-6121466-S, reprimands shall enter on counts one and two of the presentment. Attorney Thomas C. McNeill, Jr, Juris No. 101413 of Avon, CT is discharged as Trustee. The interim suspension is lifted as of April 19, 2022 at 2:00 pm.

Susan Quinn Cobb
Presiding Judge

PERSONNEL NOTICES

(Affirmative Action/Equal Opportunity Employer)

DIVISION OF CRIMINAL JUSTICE

(Affirmative Action/Equal Opportunity Employer)

STATE'S ATTORNEY

JUDICIAL DISTRICT OF NEW HAVEN

Applications are being accepted for the full-time position of State's Attorney for the Judicial District of New Haven (PCN 4806). The successful applicant shall hold office from the date of appointment through June 30, 2025, and thereafter be subject to appointment to an eight (8) year term. The annual salary is \$175,770.00. For a description of this position please visit our website at <https://portal.ct.gov/DCJ/Employment/Job-Descriptions/States-Attorney>.

At the time of appointment, the successful candidate must be an attorney-at-law and shall have been admitted to the practice of law for at least three years; residency in the State of Connecticut is a prerequisite to appointment. Division of Criminal Justice application forms must be completed by all applicants. These forms may be downloaded from the Division website at <https://portal.ct.gov/-/media/DCJ/EmploymentApplicationFillablepdf.pdf?la=en>.

Two (2) complete sets of application forms along with resumes and cover letters must be sent via U.S. Mail to: The Honorable Andrew J. McDonald, Chairman, Criminal Justice Commission, c/o Human Resources - Office of the Chief State's Attorney, 300 Corporate Place, Rocky Hill, CT 06067, Attn: SA-New Haven JD (PCN 4806) and must be postmarked no later than **June 7, 2022**. In addition, an electronic copy (pdf) of application materials should be sent to DCJ.HR@ct.gov. Applications received by facsimile will not be accepted. The Division of Criminal Justice is an Affirmative Action/Equal Opportunity Employer.

DIVISION OF CRIMINAL JUSTICE

(Affirmative Action/Equal Opportunity Employer)

STATE'S ATTORNEY

JUDICIAL DISTRICT OF NEW BRITAIN

Applications are being accepted for the full-time position of State's Attorney for the Judicial District of New Britain (PCN 4902). The successful applicant shall be subject to appointment to an eight (8) year term. The annual salary is \$175,770.00. For a description of this position please visit our website at <https://portal.ct.gov/DCJ/Employment/Job-Descriptions/States-Attorney>.

At the time of appointment, the successful candidate must be an attorney-at-law and shall have been admitted to the practice of law for at least three years; residency in the State of Connecticut is a prerequisite to appointment. Division of Criminal Justice application forms must be completed by all applicants. These forms may be

downloaded from the Division website at
<https://portal.ct.gov/-/media/DCJ/EmploymentApplicationFillablepdf.pdf?la=en>.

Two (2) complete sets of application forms along with resumes and cover letters must be sent via U.S. Mail to: The Honorable Andrew J. McDonald, Chairman, Criminal Justice Commission, c/o Human Resources - Office of the Chief State's Attorney, 300 Corporate Place, Rocky Hill, CT 06067, Attn: SA-New Britain JD (PCN 4902) and must be postmarked no later than **June 7, 2022**. In addition, an electronic copy (pdf) of application materials should be sent to DCJ.HR@ct.gov. Applications received by facsimile will not be accepted. The Division of Criminal Justice is an Affirmative Action/Equal Opportunity Employer.

NOTICE

Adoption of Revisions to the Probate Court Rules of Procedure

Notice is hereby given that on February 8, 2022, the justices of the Supreme Court adopted revisions to the Connecticut Probate Court Rules of Procedure which are contained herein, to become effective on July 1, 2022.

These rules have also been posted on the Probate Court Administrator's website at www.ctprobate.gov.

Attest:

Hon. Beverly K. Streit-Kefalas
Probate Court Administrator

Probate Court Rules of Procedure Revisions Effective July 1, 2022

Rule 1 Definitions

Section 1.1 Definitions

In these rules:

(15) “Electronic signature” means an electronic symbol or process executed or adopted by a person with the intent to sign the document: and does not include a juris number or conformed copy of a signature.

~~(17) “Fiduciary” means a person serving as an administrator, executor, conservator of the estate, conservator of the person, guardian of an adult with intellectual disability, guardian of the estate of a minor, guardian of the person of a minor, temporary custodian of the person of a minor, trustee or person serving in any other role that the court determines is fiduciary in nature. “Exemplified copy” means a copy of a document that has been authenticated by a court of competent jurisdiction.~~

~~(17)(18) “Fiduciary” means a person serving as an administrator, executor, conservator of the estate, conservator of the person, guardian of an adult with intellectual disability, guardian of the estate of a minor, guardian of the person of a minor, temporary custodian of the person of a minor, trustee or person serving in any other role that the court determines is fiduciary in nature.~~

~~(18)(19) “Financial report” means a simplified form of accounting meeting the requirements of rule 37 by which a fiduciary provides summary information about the management of an estate.~~

~~(19)(20) “Heir” means an individual who would take any share of the estate of a decedent who died intestate.~~

~~(20)(21) “Intestate” means having died without a valid will.~~

~~(21)(22) “Minor” has the meaning provided in C.G.S. section 45a-604(4).~~

~~(22)(23) “Motion” means a written filing seeking court action that is incidental to the matter before the court.~~

~~(23)(24) “News media” means an entity, or representative of an entity, that is regularly engaged in the gathering and dissemination of news and is approved by the office of the chief court administrator.~~

~~(24)(25) “News media coverage” means broadcasting, televising, recording or photographing a hearing or conference by news media.~~

~~(25)(26) “Nontaxable estate” means the estate of a decedent whose Connecticut taxable estate is less than or equal to the amount that is exempt from the Connecticut estate tax under C.G.S. section 12-391.~~

~~(26)(27) “Party” means a person having a legal or financial interest in a proceeding before the court, a fiduciary under section 4.2 and any other person whom the court determines to be a party. The term has the same meaning as interested party.~~

~~(27)(28) “Person” means an individual or entity.~~

~~(28)(29) “Person under conservatorship” means a conserved person as defined under C.G.S. section 45a-644(h) or a person under voluntary representation under C.G.S. section 45a-646.~~

~~(29)(30) “Personal surety” means a surety that does not meet the requirements to be a corporate surety.~~

~~(30)(31) “Petition” means a written filing that commences a matter in the court. The term has the same meaning as application.~~

(31)(32) “Presumptive remainder beneficiary” means a trust beneficiary who would be a distributee or permissible distributee of trust income or principal on the date the beneficiary’s interest is determined if:

(A) the trust terminated on the date; or

(B) the interests of the current beneficiaries terminated on the date without causing the trust to terminate.

(32)(33) “Probate bond” has the meaning provided in C.G.S. section 45a-139.

(33)(34) “Probate court administrator” means the individual holding the office of the probate court administrator of this state.

(34)(35) “Probate Court Rules” means the Connecticut Probate Court Rules of Procedure.

(35)(36) “Public notice” has the meaning provided in C.G.S. section 45a-126.

(36)(37) “Purported will” means an instrument purporting to be a decedent’s last will and testament and any codicil to it that has not been admitted to probate.

(37)(38) “Registered filer” means a person who has registered to use the eFiling system.

(38)(39) “Send” means transmit by electronic means, United States mail, private carrier, in-hand delivery or other commonly accepted method of communication.

(39)(40) “Structured settlement” means an arrangement under which a claimant accepts deferred payment of some or all of the proceeds of the settlement of a disputed or doubtful claim.

(40)(41) “Taxable estate” means the estate of a decedent whose Connecticut taxable estate exceeds the amount that is exempt from the Connecticut estate tax under C.G.S. section 12-391.

(41)(42) “Testate” means having died leaving a valid will.

(42)(43) “Trust beneficiary” means a person that has a present or future beneficial interest in a trust, whether vested or contingent.

(43)(44) “Trust protector” means a person identified in a will or other governing instrument who is charged with protecting the interests of a trust beneficiary and is identified as a trust protector, trust advisor, or beneficiary surrogate or as a person in an equivalent role.

(44)(45) “Will” means an instrument and any codicil to it admitted to probate as the last will and testament of a decedent.

Rule 4

Parties

Section

4.1 Parties

(a) Except as otherwise permitted by the court, only a party may participate in a proceeding before the court.

(b) Special notice under C.G.S. section 45a-127 or ~~The listing of a person on an order of notice does not make the person a party.~~

Rule 5

Self-representation;

Representation by Attorney and Appearance

Section 5.5 Form of appearance

(a) An appearance of an attorney shall:

(1) list in the heading the name of the matter, the name of the Probate Court and the date of the appearance;

(2) contain the name and mailing address of the client represented by the attorney;

(3) be signed by the attorney making the appearance;

(3)(4) contain the attorney's name, juris number, law firm, mailing address, email address and telephone number; and

(4)(5) indicate whether the appearance is filed in lieu of, or in addition to, an appearance on file.

(b) An attorney shall send a copy of the appearance to each attorney and self-represented party and certify to the court that the copy has been sent.

(c) If the appearance is in lieu of an appearance on file, the attorney filing the new appearance shall, in addition to the requirements of subsection (b), send a copy of the new appearance to the attorney whose appearance is to be replaced and certify to the court that the copy has been sent.

Rule 7

Filing Requirements

Section 7.1 General filing requirements

(a) Except as provided in section 7.1a, a registered filer who has been granted eFiling access to a matter under section 22.2 shall eFile all documents relating to the matter. A person without eFiling access to the matter shall file all documents in paper form.

(b) A document filed with the court shall:

(1) be typed or printed and, if submitted on paper, be prepared using ink;

(2) be signed in accordance with section 7.4;

(3) after the matter is commenced, refer to the name that the court assigned the matter; and

(4) satisfy the filing requirements under governing statutes and these rules.

(c) The court may accept for filing a document that is in substantial compliance with the requirements of subsection (b).

(d) The court may require a party to file an amended or substitute document to correct a document by substituting a corrected or substituted document or page previously filed with the court.

(e) If required by these rules, a person filing a petition or other document shall send a copy of the petition or document to each party and attorney of record and certify to the court that the copy has been sent. If these rules do not require the filing party to send a copy of the petition or document to each party and attorney, the filing party shall send a copy of the filing to any party or attorney who requests it, free of charge.

Section 7.1a Documents not to be eFiled

A person may not eFile:

(1) an original will, codicil or other testamentary document, including an exemplified copy of the will and record of proceedings to commence an ancillary estate under C.G.S. section 45a-288;

(2) an original probate bond or bond rider;

(3) an original record of adoption on a form published by the commissioner of public health;

(4) an original record of paternity on a form published by the commissioner of public health; or

(5) a document that the court requires in paper form.

Rule 8 Notice

Section 8.6 Streamline notice procedure

(a) The streamline notice procedure described in subsections (b) through (f) is an alternative method of notifying the parties of a pending petition. For the types of matters described in subsections (g) and (h), use of the streamline notice procedure under this section satisfies a requirement for notice and hearing under statute or these rules.

(b) When using the streamline notice procedure, the court shall give notice of the right to request a hearing to each person that the court determines is entitled to notice under section 8.2.

(c) A notice of the right to request a hearing shall include a statement that:

(1) the court will, on written request of a party, schedule a hearing on the motion or petition;

(2) the court must receive the written request for a hearing on or before the date specified in the notice; and

(3) the court may approve the motion or petition without a hearing if a written request for a hearing is not received on or before the date specified in the notice.

(d) The court shall give notice of the right to request a hearing at least ten days before the deadline to request a hearing.

(e) If the court receives a timely written request for a hearing, the court shall schedule a hearing and give notice of the hearing.

(f) If the court does not receive a timely written request for a hearing, the court may approve the motion or petition. The court may not deny the motion or petition without scheduling a hearing and giving notice of the hearing.

(g) Except as provided in subsection (i), the court shall use the streamline notice procedure under this section in the following types of matters:

(1) decedents' estates; and

(2) trusts.

(h) Except as provided in subsection (i), the court may use the streamline notice procedure under this section in the following types of matters:

(1) an account of a guardian of the estate of a minor;

(2) an account or petition to excuse an account under rule 33.17 of a conservator of the estate;

(3) an account of a guardian of an adult with intellectual disability;

(4) a motion to modify visitation orders;

(5) a motion to transfer a probate file between probate courts under C.G.S. section 45a-599 or 45a-677(h);

(6) a motion to transfer a contested children's matter to the Superior Court under C.G.S. section 45a-623 or 45a-715(g); ~~and~~

(7) a petition to transfer a conservatorship matter to another state or accept a transfer from another state under C.G.S. section 45a-667p or 45a-667q; and

(8) a motion to transfer a children's matter to a Regional Children's Probate Court by a court that does not participate in a children's court under rule 18.5.

(i) The court shall schedule a hearing rather than using the streamline notice procedure for a proceeding specified in subsection (g) or (h) if the court determines that:

(1) the matter is contested or requires testimony or legal argument;

(2) public notice is required to protect the interests of a party;

(3) the circumstances related to the particular petition require the conduct of a hearing with attendance by a party; or

(4) the matter involves the doctrine of cy pres or equitable deviation or the construction of a document that affects a charitable beneficiary or interest.

Rule 13

Court-appointed Guardian Ad Litem

Section

13.9 Guardian ad litem fees and expenses

Section 13.9 Guardian ad litem fees and expenses

The court shall determine whether the guardian ad litem fees and expenses are reasonable in light of the scope of appointment, whether or not an interested party raises an objection to the fees or expenses.

Rule 18

Transfer of Matter between Probate Courts

Section 18.5 Transfer of children's matter to Regional Children's Probate Court

(a) On motion of a party or on the court's own motion, a court that does not participate in a Regional Children's Probate Court may transfer a children's matter to a children's court.

(b) Before deciding a motion to transfer, the court shall consult with the administrative judge of the children's court concerning the resources available at the children's court to handle the matter. The court may act on its own motion without notice and hearing.

(c) A matter transferred under this section may be heard by the transferring judge or a judge who participates in the children's court.

Rule 22

eFiling

Section 22.2 eFiling access in a matter

(a) No person may access an existing matter through the eFiling system unless the person is:

~~(1)~~ a registered filer who has requested eFiling access to the matter; and is:

(1) a party; or

(2) an attorney for a party in the matter; or

(3) a guardian ad litem for a party in the matter;

(4) an auditor appointed by the court under C.G.S. section 45a-175(f) or by the probate court administrator under C.G.S. section 45a-181; or

(5) a Connecticut state agency that is a party or has a statutory duty or obligation in the matter.

(b) By requesting eFiling access, a person agrees to receive eService of filings, notices, decrees and other documents and to waive any other form of notice specified in statute or rule.

(c) The court shall approve a person's request for eFiling access to an existing matter on verification that the person is a party to the matter.

(d) The court shall ~~approve an attorney's request for~~ provide eFiling access to an existing matter to an attorney on:

(1) receipt of the attorney's appearance on behalf of a party in the matter;

(2) appointment of the attorney to represent a party in the matter; or

(3) admission of an out-of-state attorney to appear pro hac vice in the matter.

(e) The court shall approve the request of a court-appointed guardian ad litem for eFiling access to an existing matter.

(f) Court approval is not required to eFile a petition that commences a new matter or an appearance as an attorney in a matter.

(g) An auditor appointed under C.G.S. section 45a-175(f) or 45a-181 shall have eFiling access until the auditor's report is filed with the court.

Rule 30

Decedents' Estates

Section

30.27 Where to file petition to access safe deposit box to search for will or cemetery deed

Section 30.19 When executor or administrator to submit financial report or account

(a) An executor or administrator shall submit a final financial report or account when the executor or administrator has completed settlement of a decedent's estate or when the executor or administrator seeks to resign or is removed by the court.

(b) The fiduciary of the estate of an executor or administrator who dies while administering a decedent's estate shall file a final financial report or account on behalf of the deceased executor or administrator. A successor executor or administrator appointed for the original decedent's estate may file a final financial report or account on behalf of the deceased executor or administrator if no fiduciary has been appointed for the deceased executor or administrator's estate.

(c) On motion of a party or on the court's own motion, the court may direct the executor or administrator to file an interim financial report or account if necessary to protect the interests of the estate.

(d) An executor or administrator may submit a final financial report or account simultaneously with a petition for determination of insolvency under C.G.S. section 45a-383.

Section 30.27 Where to file petition to access safe deposit box to search for will or cemetery deed

A petition to access a safe deposit box to search for a will or cemetery deed may be filed:

(1) in the court for the probate district in which the decedent was domiciled on the date of death if the decedent was a Connecticut resident.

(2) in the court for a probate district meeting the requirements of C.G.S. section 45a-287 or 45a-303 if the decedent was not a Connecticut resident.

Rule 31

Estate Tax Matters

Section 31.3 Valuation of property for nontaxable estates

(a) Except as provided in subsection (c), a person filing a DRS Form CT-706 NT for a nontaxable estate shall substantiate the fair market value of real property required to be reported on the form by submitting any one of the following:

- (1) a comparative market analysis prepared by a real estate broker or agent;
- (2) information from the municipal assessment of the property, adjusted to reflect 100 percent of the fair market value as of the date of the assessment;
- (3) a written appraisal; or

(4) written proof of the actual sales price if the property is sold in an arm's-length transaction that is completed not later than six months after the death of the decedent.

(b) Except as provided in subsection (c), the court may require a person filing a DRS Form CT-706 NT to substantiate the fair market value of any personal property required to be reported on the form by supplying a written appraisal or other reasonable proof of value; including, but not limited to, an affidavit setting forth the fair market value of the decedent's interest in an entity, such as a membership interest in a limited liability company, and the method used to determine the value of the interest.

(c) If an Internal Revenue Service Form 706 is required for the decedent, the person filing a DRS Form CT-706 NT shall report each asset on the DRS Form CT-706 NT at the value shown on the Internal Revenue Service Form 706.

Rule 32

Trusts

Section 32.2 Notice in trust proceeding

(a) The court shall send notice of a proceeding concerning a trust to:

- (1) the settlor, if living;
- (2) each current beneficiary;
- (3) each presumptive remainder beneficiary;
- (4) the Attorney General, if:

(A) a current beneficiary or presumptive remainder beneficiary is a charity or charitable interest; or

(B) the trust is a special needs trust established under C.G.S. section 45a-151(b) or 45a-655(e);

- (5) the trustee;
- (6) the trust protector, if any; ~~and~~
- (7) the trust director, if any;
- (8) a designated representative, if any; and
- (~~7~~)(9) other persons as the court determines.

(b) Notice to contingent remainder beneficiaries is not required unless the court determines that the interests of the presumptive remainder beneficiaries conflict with the interests of the contingent remainder beneficiaries.

Section 32.3 Virtual representation and appointment of guardian ad litem in trust proceeding

(a) A petitioner in a trust proceeding shall inform the court if a trust beneficiary entitled to notice under section 32.2 is a minor or is incompetent, undetermined or unborn or if the beneficiary's name or address is unknown. The petitioner shall indicate whether an adult beneficiary who is legally capable of acting can virtually represent the beneficiary under ~~Public Act 19-137, sections 17-20~~ C.G.S. sections 45a-499q to 45a-499t.

(b) On receipt of information under subsection (a) or on the court's own motion, the court shall make a written determination whether a beneficiary or class of beneficiaries will be virtually represented in the proceeding. If the court determines that the interests of the beneficiary or class of beneficiaries are not virtually represented or that the representation might be inadequate, the court shall appoint a guardian ad litem to represent the interests of the beneficiary or class of beneficiaries. The court may act under this subsection without notice and hearing.

Section 32.4 Trustee to send copy of inventory, financial report or account, affidavit of closing, and petition to terminate to each party and attorney

(a) A trustee of a testamentary trust or other trust subject to continuing jurisdiction of the court shall send a copy of the inventory and each supplemental or substitute inventory, at the time of filing, to each party and attorney of record and shall certify to the court that the copy has been sent.

(b) A trustee of a testamentary trust, an inter vivos trust subject to the jurisdiction of the court under C.G.S. section 45a-175 or another trust subject to continuing jurisdiction of the court shall send a copy of each financial report or account and the affidavit of closing, at the time of filing, to each party and attorney of record and shall certify to the court that the copy has been sent.

(c) The trustee of a testamentary trust, an inter vivos trust subject to the jurisdiction of the court under C.G.S. section 45a-175 or another trust subject to continuing jurisdiction of the court shall send a copy of a petition to terminate the trust under ~~Public Act 19-137, section 35~~ or C.G.S. section 45a-499ii or 45a-520, at the time of filing, to each party and attorney of record and shall certify to the court that the copy has been sent.

(d) If a beneficiary of a trust is a charity or charitable interest, the trustee shall send a copy of each filing under subsection (a), (b) or (c), at the time of filing, to the Attorney General and shall certify to the court that the copy has been sent.

Rule 34

Guardians of Estates of Minors

Section 34.8 When guardian of estate to submit financial report or account

(a) A guardian of the estate of a minor shall submit an annual financial report or account for the first year following the guardian's appointment or, with prior court approval, for the first year following the guardian's first receipt of funds on behalf of the estate.

(b) After submitting the first annual financial report or account under subsection (a), the guardian shall thereafter submit a periodic financial report or account at least once during each three-year period, unless the court directs more frequent accounts.

(c) The guardian shall submit a final financial report or account when the minor reaches age 18 or when the guardian seeks to resign or is removed by the court.

(d) If the guardian dies while administering the estate, the executor or administrator of the estate of the deceased guardian shall file, on behalf of the deceased guardian, a final financial report or account for the guardianship estate. If an executor or administrator has not been appointed for the estate of the deceased guardian, a successor guardian of the estate may file, on behalf of the deceased guardian, a final financial report or account for the guardianship estate.

Rule 36

Fiduciary Accounting: General Provisions

Section 36.13 Records to be maintained by fiduciary

(a) Subject to subsection (c). A fiduciary shall maintain complete records of the fiduciary's management of the estate including, but not limited to, the paper copy or electronic equivalent of:

(1) each accounting, report, journal or ledger used in managing the estate, including all data recorded with accounting software;

(2) each statement and passbook for each ~~bank-financial~~ account, including savings, checking, money market, certificates of deposit, investment, individual retirement and other types of accounts;

(3) each canceled check or check image for each ~~bank-financial~~ account, if provided by the ~~bank-financial institution~~;

(4) ~~each statement for each investment account~~;

(5) a receipt for each deposit made into each ~~bank-financial~~ or investment account and supporting information relating to the deposit;

(6)(5) supporting information relating to each disbursement made from each ~~bank-financial~~ or investment account, including original supporting vendor invoices and receipts;

(6) supporting information relating to each peer-to-peer commerce and any other electronic means to transfer funds;

(7) each statement for each credit card, including a store card, account;

(8) ~~each statement for each store card account~~;

(9) supporting information relating to each charge made on each credit card, store card or debit card, including supporting vendor invoices and charge slips or receipts;

(9) supporting information relating to transactions involving electronic wallets, electronic currency, cryptocurrencies and other forms of virtual financial transactions;

(10) supporting information relating to each distribution made from the estate or trust to any heir, beneficiary, conserved person or minor, as applicable;

(11) with respect to a conservatorship of the estate, supporting information relating to each gift or other transfer for less than full consideration made from the estate to a party other than the conserved person, provided, however, that a conservator may make gifts and transfers only with prior court approval under C.G.S. section 45a-655(e);

(12) detailed payroll information for each employee engaged or paid by the estate for each pay period, including time reporting records, original payroll registers, journals, and reports and copies of all Internal Revenue Service Forms 940, 941, 942, W-3 and W-2 and other payroll tax ~~returns forms~~;

(13) details of each contracted service provider engaged or paid by the estate for each calendar year, including original invoices from contractors and copies of all Internal Revenue Service Forms 1096 and 1099 and other tax forms;

(14) a detailed journal describing the fiduciary's services and compensation paid to the fiduciary;

(15) with respect to a decedent's estate or trust, a copy of each state and federal fiduciary income tax return filed by or on behalf of the estate or trust;

(16) with respect to a conservatorship of the estate or guardianship of the estate of a minor, a copy of each state and federal personal income tax return filed by or on behalf of the person under conservatorship or minor, including each form and information received for each tax year used in the completion of each return;

(17) with respect to a conservatorship of the estate, a copy of each state and federal gift tax return filed by or on behalf of the person under conservatorship; ~~and~~

(18) supporting information relating to the sale of any asset required to be reported on an inventory such as real property, motor vehicles or other personal property;

(19) with respect to rental property, copies of all lease agreements and supporting information for security deposits, income and expenses for each property;

(20) supporting information relating to trademarks, copyrights, patents and other forms of intellectual property; and

(18)(21) any other record not specified in this section documenting the fiduciary's actions in the management of the trust or estate.

(b) The fiduciary shall not destroy any estate financial records until the court approves the fiduciary's financial report or account, the conclusion of any appeal on the report or account, or the termination of any other applicable record retention requirement, whichever is later.

(c) When considering whether the fiduciary has satisfied the requirements of subsection (a), the court shall consider the totality of the circumstances, the extent of compliance and whether the fiduciary made good faith efforts to comply.

Rule 39

Fiduciary and Attorney's Fees

Section 39.2 Task statement of fiduciary and attorney

(a) In reviewing a proposed fee for services already rendered, the court may require a fiduciary or attorney to submit a task statement describing the services performed.

(b) A fiduciary's task statement shall address:

- (1) size of the estate;
- (2) responsibilities involved;
- (3) character of the work required;
- (4) special problems and difficulties met in doing the work;
- (5) results achieved;
- (6) knowledge, skill and judgment required;
- (7) manner and promptness in which the matter was handled;
- (8) time and labor required; and
- (9) other relevant and material circumstances.

(c) An attorney's task statement shall include a copy of the attorney's engagement letter and shall address:

- (1) time and labor required;
- (2) novelty and difficulty of the questions involved;
- (3) skill required to perform the legal service properly;
- (4) likelihood, if made known to the client, that the acceptance of the particular employment will preclude other employment by the attorney;
- (5) fee customarily charged in the locality for similar legal services;
- (6) value of the estate involved, results obtained and time limitations imposed by the client or circumstances;
- (7) nature and length of the attorney's professional relationship with the person whose estate is being administered or with the fiduciary;
- (8) experience, reputation and ability of the attorney performing the services; and
- (9) whether the fee is fixed or contingent.

Rule 40

Children's Matters:

General Provisions

Section

40.23 Notice in proceedings to determine parentage after death

Section 40.7 Reinstatement as guardian

Except as provided under C.G.S. section 45a-611, a parent or guardian who was removed as guardian of a minor may file a petition seeking reinstatement as guardian. The petitioner shall have the burden of proving by a preponderance of the evidence that the factors that resulted in removal have been resolved satisfactorily. If the

~~court finds that the parent or former guardian has met the burden of proof, the court shall determine whether reinstatement of the parent or former guardian is in the minor's best interests there is a presumption that reinstatement is in the best interests of the minor. The evidentiary standard for the findings in this section and C.G.S. section 45a-611 is preponderance of the evidence. To rebut this presumption, a party opposing reinstatement of guardianship must present clear and convincing evidence that reinstatement is not in the best interests of the minor.~~

Section 40.8 Temporary guardianship

(a) A parent or guardian may petition to appoint a temporary guardian for a minor without another parent or guardian joining as copetitioner. The court shall give notice to each party, including a nonpetitioning parent or guardian, and each attorney of record.

~~(b) The~~ If the appointing parent or guardian ~~may terminate~~ terminates a temporary guardianship ~~under in accordance with C.G.S. section 45a-622 by notifying the temporary guardian and filing written notice of the termination with the Probate Court, On receipt of the written notice,~~ the court shall notify each party and attorney of record that the guardianship is terminated. The court is not required to give notice if the temporary guardianship expires on the date specified in the order establishing the guardianship.

Section 40.23 Notice in proceedings to determine parentage after death

~~(a) Except as otherwise provided under C.G.S. section 46b-172a, the court shall send notice of hearing on a petition seeking the determination of parentage of an alleged genetic parent after the death of a child to:~~

- ~~(1) the petitioner;~~
- ~~(2) the birth parent;~~
- ~~(3) the fiduciary of the estate of the deceased child, if any;~~
- ~~(4) each beneficiary under the will of the deceased child or heir of the deceased child;~~
- ~~(5) each attorney of record;~~
- ~~(6) each guardian ad litem, if any;~~
- ~~(7) the Attorney General; and~~
- ~~(8) other persons as the court determines.~~

~~(b) Except as otherwise provided under C.G.S. section 46b-172a, the court shall send notice of hearing on a petition seeking the determination of parentage of a deceased alleged genetic parent of a child after the death of an alleged genetic parent to:~~

- ~~(1) the petitioner;~~
- ~~(2) the child;~~
- ~~(3) the fiduciary of the estate of the deceased alleged genetic parent, if any;~~
- ~~(4) each beneficiary under the will of the deceased alleged genetic parent or heir of the deceased alleged genetic parent;~~
- ~~(5) each attorney of record;~~
- ~~(6) each guardian ad litem, if any; and~~
- ~~(7) other persons as the court determines.~~

Rule 43

Guardians of Adults with Intellectual Disability

Section

43.9 Extension of authority of guardian to manage finances pending decision on conservatorship of estate petition

43.10 Single petition to appoint a guardian with authority to manage finances and appoint a plenary or limited guardian of an adult with intellectual disability

Section 43.9 Extension of authority of guardian to manage finances pending decision on conservatorship of estate petition

On written request of a party, the court may extend the authority of a guardian of an adult with intellectual disability granted pursuant to C.G.S. section 45a-677a to manage finances until disposition of a pending conservatorship of estate petition, provided that such authority shall not exceed the authority granted in the original decree and the extension may not exceed 60 days. The court may act on the request without notice and hearing.

Section 43.10 Single petition to appoint a guardian with authority to manage finances and appoint a plenary or limited guardian of an adult with intellectual disability

(a) If a petitioner simultaneously files a petition for appointment of a guardian of an adult with intellectual disability, whether plenary or limited, and a petition to appoint a guardian with the authority to manage finances of the adult, the court may treat the petitions as a single petition subject to one filing fee.

(b) The court may charge a separate filing fee for a petition under subsection (a) if the court determines that it is necessary to hear the petitions separately.

Rule 44

Commitment for Treatment of Psychiatric Disability

Section 44.1 Confidentiality of psychiatric commitment proceeding

The court shall exclude a person who is not a party or an attorney for a party from attending or participating in any hearing relating to commitment for treatment of psychiatric disability under C.G.S. sections 17a-75 through 17a-83 or sections 17a-495 through 17a-528, except that:

(1) a parent or guardian of a respondent who is under the age of 16 may participate in the hearing; ~~and~~

(2) a conservator or guardian of the respondent may participate in the hearing; and

~~(2)~~(3) the court may:

(A) on request of the respondent, permit a person to participate in the hearing;

(B) after considering any objection of the respondent, permit a relative or friend who is interested in the welfare of the respondent to participate in the hearing; and

(C) permit a witness to attend any part of the hearing.

Rule 45

Proceedings for Medication and Treatment of Psychiatric Disability

Section

45.1 Confidentiality of proceeding for medication to treat psychiatric disability or shock therapy ~~or medication to treat psychiatric disability~~

45.2 Audio recording of proceeding for medication to treat psychiatric disability or shock therapy ~~or medication to treat psychiatric disability~~

45.3 Where to file petition for medication to treat psychiatric disability

- 45.4 Notice of hearing on petition for medication to treat psychiatric disability
 45.5 Petition for shock therapy

Section 45.1 Confidentiality of proceeding for medication to treat psychiatric disability or shock therapy ~~or medication to treat psychiatric disability~~

~~(a) Except as provided in subsections (b) and (c),~~ The the court shall exclude a person who is not a party or attorney for a party from attending or participating in a hearing on a petition for medication for treatment of a psychiatric disability under C.G.S. section 17a-543(e), 17a-543(f), 17a-543(g) or 17a-543a or a hearing on a petition for shock therapy under C.G.S. section 17a-543(c),, except that: the court may:

(b) A conservator or guardian of the respondent shall be permitted to participate in a hearing on a petition for medication for treatment of a psychiatric disability or for shock therapy.

(c) The court may:

- (1) on request of the patient, permit a person to participate in the hearing;
- (2) after considering any objection of the patient, permit a relative or friend who is interested in the welfare of the patient to participate in the hearing; and
- (3) permit a witness to attend any part of the hearing.

Rule 46

Commitment for Treatment of Drug and Alcohol Dependency

Section 46.1 Confidentiality of drug and alcohol dependency commitment proceeding

~~(a) Except as provided in subsections (b) and (c),~~ The the court shall exclude a person who is not a party or attorney for a party from attending or participating in a hearing on a petition for commitment for treatment of drug or alcohol dependency,; except that the court may:

(b) A conservator or guardian of the respondent shall be permitted to participate in the hearing.

(c) The court may:

- (1) on request of the respondent, permit a person to participate in the hearing;
- (2) after considering any objection of the respondent, permit a relative or friend interested in the welfare of the respondent to participate in the hearing; and
- (3) permit a witness to attend any part of the hearing.

Rule 66

Participation in Hearing by Electronic Means

Section 66.1 When participation by electronic means permitted

~~(a) Unless otherwise prohibited by law, on request of a party, or witness, or attorney of record, or on the court's own motion,~~ the court may allow participation in a hearing, conference or deposition by telephonic or other electronic means.

~~(b) In determining whether to allow participation by electronic means, the court shall consider:~~

- ~~(1) the importance, subject matter, and nature of the proceeding;~~
- ~~(2) the nature of the rights at issue;~~
- ~~(3) the technology available to the court, parties, witnesses and attorneys;~~

~~(2)(4) whether surprise or prejudice would result from electronic participation or from the inability to participate by electronic means;~~

~~(3) whether a party is unable to secure the presence of the witness in person;~~

~~(4) the cost of attending the hearing in person;~~

~~(5) any efficiency or cost savings to be achieved by allowing participation by electronic means;~~

~~(5)(6) whether participation by electronic means will allow full and effective examination and cross examination;~~

~~(6) the importance of the testimony;~~

~~(7) whether the subject matter of the testimony is disputed;~~

~~(8)(7) the convenience of the parties and witnesses, including representatives of state agencies; and~~

~~(9)(8) other relevant factors.~~
